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28089 7590 09/17/2007 WILMER CUTLER PICKERING HALE AND DORR LLP 399 PARK AVENUE			EXAMINER	
			VAN HANDEL, MICHAEL P	
NEW YORK, 1	NY 10022		ART UNIT PAPER NUMBER	
			2623	
			NOTIFICATION DATE	DELIVERY MODE
			09/17/2007	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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	Application No.	Applicant(s)			
	09/841,465	HABERMAN ET AL.			
Office Action Summary	Examiner	Art Unit			
	Michael Van Handel	2623			
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address			
Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be time rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	l. the mailing date of this communication. (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 18 Ju	ne 2007.				
2a)⊠ This action is FINAL . 2b)☐ This	This action is FINAL . 2b) This action is non-final.				
3) Since this application is in condition for allowar	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims	•				
4) Claim(s) 1-19 and 21 is/are pending in the app	lication.				
4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>1-19 and 21</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	r election requirement.				
Application Papers					
9)☐ The specification is objected to by the Examine	r.				
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
a) ☐ All b) ☐ Some * c) ☐ None of:					
 Certified copies of the priority documents have been received. 					
2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the prior	•	ed in this National Stage			
application from the International Bureau	, ,,				
* See the attached detailed Office action for a list	of the certified copies not receive	a.			
Attachment(s)					
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail Da				
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 1/12/2007.	5) Notice of Informal P 6) Other:				

DETAILED ACTION

Response to Amendment

1. This action is responsive to an Amendment filed 6/18/2007. Claims 1-19, 21 are pending. Claims 1, 16, 19, and 21 are amended. Claim 20 is canceled. The examiner hereby withdraws the objection to claim 21 in light of the amendment.

Response to Arguments

1. Applicant's arguments regarding claims 1-19 and 21, filed 6/18/2007, have been fully considered, but they are not persuasive.

Regarding claims 1-18 and 21, the applicant argues that the claim language "non-interactive" is supported in Applicant's specification. The examiner respectfully disagrees. The applicant cites paragraphs 48 and 50 as supporting the claim language; however, the examiner fails to find any recitation of a "non-interactive" advertisement in the cited paragraphs. The cited paragraphs state that selection of a commercial segment to play is based on rules in terms of viewer profiles. The examiner fails to find how this is necessarily indicative of a non-interactive advertisement. In fact, Applicant's specification discloses a Personalization Engine (PE) that creates a log of activity and viewing information for customization. This information is delivered to create profiles in a central server (paragraph 63). The examiner interprets this as customizing advertisements for a particular viewer based on the particular viewer's interaction with the system (i.e. viewing activity). As such, the examiner maintains that Applicant's

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specification lacks adequate support for "non-interactive" personalized advertisements, as currently claimed.

Regarding claims 1, 16, 19, and 21, the applicant argues that Klosterman et al. nowhere describes that a network creates an advertisement template comprising a plurality of slots in sequence, wherein a plurality of different media segments are insertable into at least one of said slots. The examiner respectfully disagrees. As noted in the Office Action mailed 12/18/2006, Klosterman et al. discloses systems and methods for allowing a network to set up multiple channels of advertising, e.g., FOX, FOX1, FOX2, etc. Each channel provides a separate program of advertising synchronized in time to coincide with advertising delivered on the main channel, e.g., FOX. For example, the television set of an individual viewer who is watching the SuperBowl on FOX will be automatically tuned, in a manner invisible to the viewer, to one of the multiple FOX channels during a commercial break (p. 2, paragraph 31). Klosterman et al. further discloses that a television set automatically tunes in a serial manner to one or more of the multiple FOX channels (p. 2, paragraph 31). The examiner interprets this as a plurality of different media segments that are insertable into at least one of a plurality of slots in sequence. The examiner further notes that a main television channel with a main program and advertisements is inherently an advertisement template comprising a plurality of slots in sequence, as currently claimed. As such, the examiner maintains that Klosterman et al. meets the limitation of "an advertisement template comprising a plurality of slots in sequence, wherein a plurality of different media segments are insertable into at least one of said slots," as currently claimed.

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Further regarding claims 1, 16, 19, and 21, the examiner acknowledges the applicant's argument regarding the overlay messages of Klosterman et al.; however, the examiner notes that Klosterman et al. describes the overlay messages as an example of customizing an advertisement for a user (p. 6, paragraph 71). Klosterman et al. further discloses automatically tuning in a serial manner to one or more advertising channels (p. 2, paragraph 31). The examiner interprets this as "a personalized advertisement template comprising a plurality of media slots in sequence, wherein as plurality of different media segments are insertable into at least one of said slots," as noted above.

Still further regarding claims 1, 16, 19, and 21, the applicant argues Klosterman et al. does not show or suggest that the receiving unit uses said content selection information to switch between said plurality of data streams to retrieve at least one of said media segments for each of said slots to assemble a non-interactive personalized advertisement. The applicant specifically argues that Klosterman et al.'s viewer profile information is not used by the viewer's terminal to switch between a plurality of data streams to receive media segments. The examiner notes; however, that the features upon which applicant relies (i.e., viewer profile information is not used by the viewer's terminal to switch ...) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

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Claim Rejections - 35 USC § 112

1. Claims 1-18, 21 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter, which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Specifically, the examiner fails to find a recitation of the subject matter corresponding to the claimed "non-interactive" in the applicant's specification.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claims 1-5, 8, 9, 12, 13, 15, 16, 18, 19, 21 are rejected under 35 U.S.C. 102(e) as being anticipated by Klosterman et al.

Referring to claims 1, 16, and 19, Klosterman et al. discloses a method/system for allowing the creation of a plurality of non-interactive personalized advertisements to be viewed by an intended audience, comprising:

- creating a personalized advertisement template comprising a plurality of media slots in sequence, wherein a plurality of different media segments are insertable into at least one of said slots (p. 3, paragraphs 38-40, 42, 44, 45, 48);

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- simultaneously transmitting a plurality of data streams to a receiving unit, each data stream delivering a different one of said plurality of media segments for said at least one of said slots, wherein said media segments are synchronized to begin and end at

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substantially the same time (p. 2, paragraph 31 & p. 3, paragraph 39); and

transmitting content selection information regarding content of said plurality of data streams to said receiving unit, said information including switch times for said plurality of synchronized media segments, wherein said receiving unit uses said content selection information to switch between said plurality of data streams to retrieve at least one of said media segments for each of said slots, (p. 2, paragraphs 32, 33; p. 3, paragraphs 38-40, 42; & p. 4, paragraphs 44, 45, 48), to assemble a non-interactive personalized advertisement (p. 6, paragraph 71).

Further referring to claims 16 and 19, Klosterman et al. discloses that one of said data streams transmits content selection information regarding content of said plurality of data streams said information including switch times for allowing a receiving unit to switch among said plurality of data streams to select a particular media segment at a particular time (p. 3, paragraphs 38, 39), to assemble a non-interactive personalized advertisement (p. 6, paragraph 71).

Referring to claim 2, Klosterman et al. discloses the method of claim 1, wherein said receiving unit selects among said plurality of data streams in real time (Klosterman et al. discloses automatically tuning the television set of an individual viewer to one of multiple FOX channels of advertising during a commercial break, in a manner invisible to the viewer. The examiner interprets this as selecting among the plurality of channels in real time)(p. 2, paragraph 31 & p. 4, paragraphs 44, 45).

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Referring to claim 3, Klosterman et al. discloses the method of claim 1, wherein said personalized advertisement is viewed by a viewer as it is assembled (p. 6, paragraph 71).

Referring to claim 4, Klosterman et al. discloses the method of claim 1, wherein said receiving unit selects among said plurality of data streams based on said content selection information and information about a viewer who will view said personalized advertisement (p. 3, paragraph 40).

Referring to claim 5, Klosterman et al. discloses the method of claim 4, further including providing a data stream with a default personalized advertisement to allow said receiving unit to display said default personalized advertisement without selecting between said plurality of data streams (the examiner notes that in the SuperBowl example, the beer commercial is the commercial displayed on Channel A with the SuperBowl program. Thus, the examiner interprets the beer commercial to be a default personalized advertisement, as claimed)(p. 4, paragraphs 44, 45).

Referring to claim 8, Klosterman et al. discloses the method of claim 1, wherein said segments are incomplete parts of a personalized advertisement (the examiner notes that Klosterman et al. discloses preloading advertisement overlay messages into the memories of a viewers' television system. When the advertisement is broadcast, the overlay message is displayed with it. The examiner interprets these advertisements to be incomplete sections of a complete non-interactive advertisement, as claimed (p. 6, paragraph 71).

Referring to claim 9, Klosterman et al. discloses the method of claim 1, wherein said receiving unit is a set top box (p. 3, paragraph 35).

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Referring to claims 12 and 18, Klosterman et al. discloses the method/system of claims 9 and 16, respectively, wherein said set top box momentarily switches from a first digital data stream to a second digital data stream to play out a personalized advertisement (p. 2, paragraph 31 & p. 3, paragraphs 35, 40).

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Referring to claim 13, Klosterman et al. discloses the method of claim 9, wherein said set top box receives a plurality of television channels over said data streams, and said channels include programs including a synchronized commercial break; and during said synchronized commercial break, said data streams deliver segments to create a personalized advertisement for display irrespective of which channel said set top box had selected (p. 2, paragraph 31; p. 3, paragraphs 38-40, 42; & p. 4, paragraphs 44, 45).

Referring to claim 15, Klosterman et al. discloses the method of claim 1, further including a plurality of templates for creating said personalized advertisements, wherein said templates include video sequence templates and audio sequence templates (the examiner notes that each channel shows a series of advertisements back-to-back. These advertisements include video and audio. Since these advertisements can be modified by adding overlays to create a more personalized advertisement, the examiner interprets the original channel advertisements to be have video sequence templates and audio sequence templates that are used with the overlay messages to create more personalized advertisements)(p. 2, paragraph 31; p. 3, paragraphs 38-40, 42; p. 4, paragraphs 44, 45; & p. 6, paragraph 71).

Referring to claim 21, Klosterman et al. discloses a method for delivering a plurality of different non-interactive advertisements over a television transmission network, comprising:

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creating a plurality of different video and audio media segments, wherein said different media segments include incomplete sections of a complete non-interactive advertisement (a network can set up multiple channels of advertising, e.g., FOX, FOX1, FOX2, etc. The examiner further notes that Klosterman et al. discloses preloading advertisement overlay messages into the memories of a viewers' television system. When the advertisement is broadcast, the overlay message is displayed with it. The examiner interprets these advertisements to be incomplete sections of a complete non-interactive advertisement, as claimed)(p. 1, paragraph 10; p. 2, paragraph 31; & p. 6, paragraph 71);

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- receiver through one or more data streams, wherein said plurality of television programs have at least one synchronized commercial break (p. 2, paragraph 31 & p. 3, paragraph 39);
- during said synchronized commercial break, transmitting said plurality of different media segments to said television signal receiver through said one or more data streams, wherein all media segments are transmitted simultaneously (p. 2, paragraph 31 & p. 3, paragraphs 38, 39);
- directing said television signal receiver to switch to one of said one or more data streams to retrieve one or more media segments to assemble said complete non-interactive advertisement (p. 3, paragraph 42 & p. 6, paragraph 71); and
- wherein after said synchronized commercial break, said television signal receiver switches to a previously selected television program (p. 4, paragraphs 44, 45).

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Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Klosterman et al. in view of Ten Kate et al.

Referring to claim 6, Klosterman et al. discloses the method of claim 1. Klosterman et al. does not disclose that the plurality of data streams are MPEG encoded data streams. Ten Kate et al. discloses encoding video streams in MPEG-2 (col. 3, 1. 39-41, 61-67). It would have been obvious to one of ordinary skill in the art at the time that the invention was made to modify the channels of Klosterman et al. to be MPEG encoded, such as that taught by Ten Kate et al. in order to achieve a higher compression rate.

3. Claims 7, 10, 11, 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Klosterman et al. in view of Picco et al.

Referring to claim 7, Klosterman et al. discloses the method of claim 1. Klosterman et al. does not disclose that the plurality of data streams are multiplexed into a transport stream. Picco et al. discloses multiplexing live television feeds 106, local content streams 108 and various other signals into a digital data stream that is then transmitted to a user (col. 8, l. 56-67 & Fig. 5). It would have been obvious to one of ordinary skill in the art at the time that the invention was

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made to modify the channels of Klosterman et al. to be multiplexed into a digital data stream, such as that taught by Picco et al. in order to provide individualized local content in a digital stream by transmitting to the user a single multiplexed data stream (Picco et al. col. 2, l. 42-44).

Referring to claims 10, 11, and 17, Klosterman et al. discloses the method/system of claims 9 and 16. Klosterman et al. further discloses that the invention can receive analog television and digital television (p. 3, paragraph 35). Klosterman et al. still further discloses switching advertisements in response to a channel change command in the vertical blanking interval (VBI) (p. 3, paragraph 38). Klosterman et al. does not disclose that the set top box momentarily switches from an analog data stream to a digital data stream to play out a personalized advertisement triggered by VBI data. Picco et al. discloses a set top box 120 (Fig. 7) that can receive both analog data streams and digital data streams (col. 14, l. 62-67). Picco et al. further discloses that the set top box 120 activates a web browser in response to a user selection when the user sees a television advertisement, which references a particular web site (col. 14, 1. 17-41 & Fig. 11). It would have been obvious to one of ordinary skill in the art at the time that the invention was made to modify the VBI triggered advertisement switching of Klosterman et al. to include switching from an analog stream to a digital stream to display advertising information, such as that taught by Picco et al. in order to provide a television viewer with advertising from the Internet.

4. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Klosterman et al. in view of Kunkel et al.

Referring to claim 14, Klosterman et al. discloses the method of claim 1. Klosterman et al. does not disclose including transition segments, which are inserted into a personalized advertisement between segments. Kunkel et al. discloses encoding video streams in MPEG1 or MPEG2. It would have been obvious to one of ordinary skill in the art at the time that the invention was made to modify the channels of Klosterman et al. to be MPEG encoded, such as that taught by Kunkel et al. in order to achieve a higher compression rate. Kunkel et al. further discloses sending I-frames continuously at the beginning of targeted ads, so that the set top box tuners can quickly acquire the signal. Similarly, a continuous stream of I-frames is provided for the last few seconds of the advertisement to enable the tuners to quickly reacquire the original channel once the advertisement has concluded (p. 4, paragraph 31). It would have been obvious one of ordinary skill in the art at the time that the invention was made to modify the combination of Klosterman et al. and Kunkel et al. to include continuously sending I-frames at the beginning and end of advertisements, such as that taught by Kunkel et al. in order to facilitate seamless transitions between advertisements and original programming (Kunkel et al. p. 4, paragraph 31).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Van Handel whose telephone number is 571-272-5968. The examiner can normally be reached on 8:00am-5:30pm Mon.-Fri..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chris Kelley can be reached on 571-272-7331. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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